

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF VIRGINIA

Richmond Division

IN RE: GENWORTH FINANCIAL  
SECURITIES LITIGATION

Civil Case No.: 3:14-cv-682-JAG

**SECOND AMENDED INITIAL PRETRIAL ORDER**

This case is scheduled for trial with a jury on **May 9, 2016**, at **9:00 a.m.** The Court will hold a final pretrial conference on **April 22, 2016**, at **10:00 a.m.** Lead counsel for each party, and any unrepresented parties, must attend the final pretrial conference.

1. **Contact Information.** Counsel shall disclose to each other their email addresses as well as street addresses, which shall not be a post office box. *Pro se* litigants shall not be governed by this rule, and counsel need not disclose a street address to *pro se* litigants.

2. **Due dates.** Whenever a due date is imposed on a party by this Order, and the due date is a weekend or holiday, the duty shall be performed the last business day *before* the weekend or holiday.

3. **Answer.** Any party who has not done so shall file an answer within ten (10) days of the date of this Order, notwithstanding any pending dispositive motions. In extraordinary cases, the Court will modify this requirement on motion of a party.

4. **Discovery.** With the exception of discovery related to expert witnesses, all discovery shall be completed by **January 15, 2016**. Written discovery shall be served such that

the responses are due by no later than **January 15, 2016**. Discovery related to expert witnesses shall be completed by **February 11, 2016**.

No party shall take more than five (5) depositions of non-party witnesses without leave of Court. For purposes of this paragraph, a deposition of a non-party entity under Fed. R. Civ. P. 30(b)(6) shall count as one deposition, even if the entity designates multiple witnesses to testify.

Counsel shall serve all discovery requests by e-mail. In addition, a hard copy shall be served. *Pro se* litigants, however, are not required to serve discovery by e-mail, and represented parties are not required to serve *pro se* litigants by e-mail.

5. **Expert Witnesses.** The parties will disclose the information required under Rule 26(a)(2) on the following schedule: Party with the burden of proof on an issue by **December 21, 2015**; opposing party by **January 20, 2016**; rebuttal expert(s) by **February 3, 2016**. Motions, by either party, challenging the designation of experts shall be filed by at least **March 3, 2016**.

Each party may call only one expert per discipline absent Court order.

6. **Settlement.** Counsel shall notify the Court immediately of any settlement. The Court will place the case on the docket for presentation of a final order within fifteen (15) calendar days of notification. If a fully executed order is received prior to the presentation date, the case will be removed from the docket and counsel need not appear. If such an order is not timely submitted, the action will be dismissed by the Court with prejudice on the basis of the representation that the action has been settled.

7. **Motions.** Motions on class certification and motions to exclude experts testifying on class certification shall be filed no later than **December 7, 2015**. Opposition briefs shall be filed no later than **January 14, 2016**, and rebuttal briefs shall be filed no later than **January 30, 2016**. Motions for summary judgment shall be filed by no later than **February 12,**

2016. Opposition briefs shall be filed no later than **February 29, 2016** and reply briefs shall be filed no later than **March 9, 2016**.

Motions in limine shall be filed so that they can be fully briefed before the final pretrial conference on **April 22, 2016**, at which time the Court will rule on them.

The Court shall hear oral argument on class certification on **March 16, 2016**, at **10:30 a.m.** and on summary judgment and *Daubert* motions on **April 13, 2016**, at **9:00am**. The parties may request oral argument on any motion, but it is the Court's policy, pursuant to Local Civil Rule 7(J), not to hear oral argument if a motion may be decided without an oral hearing. Local Civil Rule 7(E) is hereby abrogated insofar as it renders motions withdrawn for failure to set a hearing within 30 days of filing. A party need not contact the Court to schedule a hearing if it does not, in fact, desire a hearing on its motion.

Once filed with the Clerk, one (1) courtesy copy of all pleadings and motions greater than thirty (30) pages in length shall be delivered to Chambers by the next business day.

8. **Stipulations.** Counsel shall meet or confer by telephone by no later than **March 22, 2016**, in an attempt to enter into stipulations of fact or law. Plaintiff's counsel shall file the agreed upon stipulations with the Clerk of Court by no later than **April 1, 2016**. This paragraph shall not apply to *pro se* litigants.

9. **Designation of Discovery.** By **March 22, 2016**, the plaintiff shall designate any discovery, including deposition excerpts, the plaintiff intends to introduce at trial. By **April 1, 2015**, the defendant shall designate any discovery the defendant intends to introduce, including "fairness" portions of deposition transcripts. The plaintiff may designate any rebuttal discovery by **April 6, 2016**.



In designating portions of depositions for use at trial, the parties must indicate the page and line numbers of excerpts.

In non-jury cases, by **April 5, 2016**, the parties should deliver to chambers summaries of deposition testimony, pursuant to the Local Rules.

Any objections to the use of designated discovery shall be filed by **April 12, 2016**, with a copy of the discovery objected to. Objections shall state the basis of the objection, and shall include a citation to the appropriate Federal Rule of Evidence, Order of this Court, or other authority.

Discovery not designated pursuant to this paragraph shall not be admitted into evidence.

Parties need not designate discovery materials used solely for impeachment or cross-examination.

10. **List of Witnesses.** By **March 22, 2016**, the plaintiff shall file a list of witnesses who may be called. By **April 1, 2016**, the defendant shall file a list of witnesses. The plaintiff shall file a list of rebuttal witnesses by **April 6, 2016**. Witnesses named in the plaintiff's initial list of witnesses need not be listed as rebuttal witnesses. All parties' witness lists must include witnesses called exclusively for impeachment or rebuttal.

Any objections to witnesses identified shall be filed with the Court by **April 12, 2016**. Objections shall state the basis of the objection and shall include a citation to the appropriate Federal Rule of Evidence, Orders of this Court, or other authority.

Any witnesses not listed pursuant to this paragraph will not be allowed to testify.

11. **List of Exhibits.** The plaintiff shall file a list of exhibits by **March 22, 2016**. The defendant shall file a list of exhibits by **April 1, 2016**. The plaintiff shall file a list of rebuttal exhibits by **April 6, 2016**. With each list, the parties will serve on opposing counsel

copies of the exhibits. The exhibits shall be numbered and, if more than ten (10) in number or more than twenty (20) total pages, bound in notebooks. The parties shall include on the respective lists all exhibits that may be offered for demonstrative purposes.

Any objections to proposed exhibits shall be filed with the Court by **April 12, 2016**, with a copy of the exhibits objected to. Objections shall state the basis of the objection, and shall include a citation to the appropriate Federal Rule of Evidence, Orders of this Court, or other authority.

Any exhibit to which no objection is made shall be deemed admitted into evidence without further action by counsel.

Any exhibit not listed pursuant to this paragraph will not be admitted into evidence.

12. **Final Pretrial Conference.** At the final pretrial conference, set for **April 22, 2016**, the Court will rule on motions in limine and objections to exhibits and witnesses.

13. **Voir Dire.** If this is a jury case, the parties shall file proposed voir dire questions by **April 27, 2016**.

14. **Jury Instructions.** If this is a jury case, each party shall file proposed instructions by **April 27, 2016**. The parties shall submit two copies, one with authority at the bottom and one without authority. The parties shall email these copies to chambers in WORD format. The parties can call chambers to obtain the appropriate email address.

15. **Proposed Findings of Fact and Conclusions of Law.** If this case is tried without a jury, the parties shall file proposed findings of fact and conclusions of law by **April 20, 2016**. The parties shall deliver a copy of the proposed findings and conclusions to chambers, on CD-ROM or USB flash drive, in WORD format.

16. **Pretrial Briefs.** The parties may file pretrial bench briefs on material issues expected to arise at trial. Pretrial briefs are encouraged by the Court. Pretrial briefs are due by **April 22, 2016.**

17. **Copies at Trial.** Counsel shall provide the Court with four (4) copies of exhibits on the day of trial, one for the judge, one for the courtroom clerk, one for the law clerk, and one for the witness. If more than ten (10) in number or more than twenty (20) total pages, the exhibits shall be bound in a notebook. If counsel wishes to publish an exhibit to the jury, counsel shall display the exhibit electronically, provide a copy for each juror, or enlarge the exhibit so that all jurors can see it from the jury box.

18. **Technology.** The courtroom is equipped with screens for the viewing of evidence. Monitors are located on counsel tables, on the bench, and in the jury box. The courtroom has a document viewer that displays documents on the monitors; the parties may use the document viewer. Please visit the following website for complete information on the Court's evidence presentation system and technology:

[http://www.vaed.uscourts.gov/resources/Court%20Technology/evidence\\_presentation\\_systems.htm](http://www.vaed.uscourts.gov/resources/Court%20Technology/evidence_presentation_systems.htm)

The courtroom is equipped to allow the parties to use personal laptop computers to aid in displaying evidence at trial. The Court does not provide computers for the use of parties. Before bringing a computer into the courthouse, counsel should deliver to the Court a letter requesting permission to do so; if appropriate, the letter will be marked as approved by the Court, and counsel should present the letter to the court security officers at the courthouse entrance. Counsel should confer with the deputy clerk to conduct a test of the courtroom technology before trial.

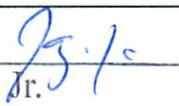
Pursuant to courthouse policy, no one may bring cell phones, electronic calendars, or similar devices into the building. If counsel needs to bring an electronic device to court, they



should deliver to the Court a letter requesting permission to do so; if appropriate, the letter will be marked as approved by the Court, and counsel should present the letter to the court security officers at the courthouse entrance. While in the courthouse, telephones and calendars shall be turned off (not on silent or vibrate modes), except when in use on matters related to the case.

19. **Attorneys' Fees.** Any motion for an award of attorneys' fees will be addressed after trial, pursuant to Fed. R. Civ. P. 54 and Local Rule 54 (if fees are treated as costs by statute or rule). Such motions shall be governed by applicable statutory and/or decisional law. In submitting a motion for an award of fees, a party must submit an affidavit or declaration itemizing time spent on the case, describing the work done, and the hourly rate of the person billing the case. In addition, a party must submit an affidavit or declaration from an expert to establish the reasonableness of the fees. Motions for attorneys' fees shall be accompanied by a brief.

Date: January 6, 2016  
Richmond, VA

<p style="text-align: center;">/s/  John A. Gibney, Jr. United States District Judge</p>
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IF THIS CASE IS SETTLED PRIOR TO TRIAL, PLEASE CONTACT CHAMBERS AT (804) 916-2870.